

# **UK Emission Mapping Methodology 2008**

A report of the National Atmospheric Emissions Inventory



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# **Executive summary**

Emission maps for the whole of the UK are routinely produced as part of the NAEI for the 25 pollutants, listed below:

1,3-butadiene	Nitrous Oxide
Benzene	Methane
Carbon monoxide	Arsenic
Carbon dioxide	Cadmium
Particulate matter ( $PM_{10}$ and $PM_{2.5}$ )	Chromium
Nitrogen oxides (NO <sub>x</sub> )	Copper
Non Methane Volatile Organic Compounds (NMVOC)	Lead
Sulphur dioxide	Mercury
Ammonia	Nickel
Benzo[a]pyrene	Selenium
Dioxins	Vanadium
Hydrogen chloride	Zinc

This report describes the methods used to map each of the NAEI sectors. The maps provide modelled estimates of emissions compiled at a 1 km<sup>2</sup> resolution. One set of maps is produced each year for the most recent NAEI year. The mapped emissions data are made freely available on the NAEI web site at <a href="http://www.naei.org.uk/data\_warehouse.php">http://www.naei.org.uk/data\_warehouse.php</a> and <a href="htt

The geographical distribution of emissions across the UK is built up from a number of map distributions for each sector. These individual NAEI sector distributions are developed using information and surrogate statistics appropriate to that sector. For large industrial and commercial sources, emissions are compiled from a variety of official UK regulatory sources. For diffuse emission sources in the UK, a distribution maps are generated using appropriate surrogate statistics for each sector. The method used for each source sector varies according to the data available.

The emission maps are used by AEA and other organisations for a variety of Government policy support work at the national scale. In particular, the maps are used as input into a programme of air pollution modelling studies. Local area statistics are also compiled from the maps and related data. For example, Local Authority level data on carbon dioxide emissions and fuel use have been produced for Defra and DECC since 2003 using data from the NAEI mapping work. As of March 2008, these datasets were designated as National Statistics.

Uncertainty analyses have been undertaken to consider the quality of the emission maps for a selection of the pollutants listed above. Quality ratings have been calculated for point source emissions, area source emissions and the overall emission distribution for each pollutant. The pollutants with the highest quality ratings have a large proportion of emissions from point sources whereas for pollutants with a greater proportion of their emissions in the area sources, have lower quality ratings.

The distribution of emissions presented in the NAEI maps has been verified for key pollutants for use in UK scale air quality modelling. The results for  $NO_x$  show good agreement between the spatial pattern of emissions from area sources and background ambient air concentrations at automatic air quality monitoring sites.

## **Table of contents**

1	Intro	duction	1
	1.1	Emission mapping scope and purpose	
	1.2	Annual Cycle	
	1.3	Report Structure	
2	Natio	onal Inventory Compilation	4
3	Meth	ods for calculating emission distributions	5
	3.1	Large industrial and commercial sources	
	3.2	Other industrial, commercial and public sector consumers	10
	3.3	Road transport	
	3.4	Domestic	16
	3.5	Agriculture	20
	3.6	Rail	21
	3.7	Shipping	21
	3.8	Aircraft	22
	3.9	Industrial off-road	22
	3.10	Accidental fires and small scale west burning	23
	3.11	Landfill sites	23
	3.12	Offshore	24
	3.13	Other sectors	24
4	Emis	sion maps and data products	25
	4.1	Compilation of maps	
	4.2	Data products	26
5	Qual	ity of mapping verification	28
	5.1	Estimating quality and uncertainty	
	5.2	Verification	
6	Sum	mary of recommendation for improvements	32
7	Refe	rences	34

### Appendices

Appendix 1	Detailed source sector breakdown of UK emissions of $NO_X$ in 2008
Appendix 2	2008 NO <sub>x</sub> emissions for all UNECE level 1 SNAP sectors

# **1** Introduction

The UK National Atmospheric Emission Inventory (NAEI) and Greenhouse Gas Inventory (GHGI) are compiled by AEA on behalf of The Department for Environment Food and Rural Affairs, the Department for Energy and Climate Change, the Welsh Assembly Government, the Scottish Government and the Department of the Environment for Northern Ireland. This report describes the methodology used to compile spatially disaggregated emissions maps at a 1 km<sup>2</sup> grid resolution under the NAEI system.

The NAEI is the reference standard for air emissions in the UK and provides annual estimates of emissions to atmosphere for a wide range of important pollutants including air quality pollutants, greenhouse gases, regional pollutants contributing to acid deposition and photochemical pollution, persistent organic pollutants and other toxic pollutants such as heavy metals. A spatially disaggregated inventory is produced each year.

A detailed report describing the methods used for calculating national total emission estimates under the NAEI and other outputs of the inventory system is published each year and can be found on the NAEI website at <a href="http://www.naei.org.uk/reports.php">http://www.naei.org.uk/reports.php</a> (Murrells et al, 2010).

### 1.1 Emission mapping scope and purpose

Emission maps are routinely produced within the NAEI for the 25 pollutants, listed below:

1,3-butadiene	Nitrous Oxide
Benzene	Methane
Carbon monoxide	Arsenic
Carbon dioxide	Cadmium
Particulate matter ( $PM_{10}$ and $PM_{2.5}$ )	Chromium
Nitrogen oxides (NO <sub>x</sub> )	Copper
Non Methane Volatile Organic Compounds	Lead
Sulphur dioxide	Mercury
Ammonia	Nickel
Benzo[a]pyrene	Selenium
Dioxins	Vanadium
Hydrogen chloride	Zinc

The maps provide modelled estimates of the distribution of emissions at a 1 km<sup>2</sup> resolution and are aggregated to UNECE Sectors using the SNAP reporting format as shown in Table 1.1 below. Data for large point sources are reported separately.

UNECE Sector Code	Description
1	Combustion in energy production and transfer
2	Combustion in commercial, institutions, residential and agricultural sectors
3	Combustion in industry
4	Production processes
5	Extraction / Distribution of fossil fuels
6	Solvent use
7	Road transport
8	Other transport and machinery
9	Waste Treatment and disposal
10	Agricultural, forests and land use change
11	Other sources and sinks

Table 1.1: UNECE Emissions Sectors Classification

The emission maps are used by AEA and other organisations for a variety of Government policy support work at the national scale. In particular the maps are used as inputs into a programme of air pollution modelling studies. Local area statistics are also compiled from the maps and related data e.g. the Local Authority level data on carbon dioxide emissions (Brophy et al, 2010) and fuel use (Tsagatakis and Passant, 2010) which have been produced for Defra and DECC (formerly BERR) since 2003. As of March 2008, these datasets were classified as National Statistics<sup>1</sup>.

The mapped emissions are made freely available in a neutral file format<sup>2</sup> on the NAEI web site at <u>http://www.naei.org.uk/data\_warehouse.php</u>. They provide a valuable resource for those interested in local air quality:

- The maps are frequently used as a starting point for many local emission inventories, which may then be used to assess current and future air quality.
- Emission estimates for point sources and emissions arising from the surrounding area are used in modelling studies as part of Environmental Impact Assessments by developers and their consultants.

### **1.2 Annual Cycle**

The NAEI is compiled on an annual basis. Each year the full inventory time-series is recalculated to take account of improved data inputs and any advances in methodology. Updating the full time-series is an important process as it ensures that the entire dataset uses the methodology that is the most current. National totals and temporal trends are reported to the European Commission (under systems supporting the National Emission Ceiling Directive and the European Union Monitoring Mechanism), UN/ECE, UNFCCC and other international fora.

Historically, emission maps have only been routinely compiled for the latest year in the NAEI time-series. Hence, there has been no consistent time-series in spatially disaggregated emissions maps. However, for the first time in 2006, a time-series relative to a 2005 base year has been calculated for end-user emission maps for  $CO_2$  and sub-national energy

<sup>&</sup>lt;sup>1</sup> <u>http://www.decc.gov.uk/en/content/cms/statistics/regional/regional.aspx</u>

<sup>&</sup>lt;sup>2</sup> ASCII grid format

consumption estimates. These maps and datasets have been developed in order to support national policy on energy consumption and carbon emissions on behalf of DECC. There is a commitment in future years to back-calculate the emissions maps for end-user  $CO_2$  and fuel use to take into account improvements in mapping methodology and to ensure that a comparable time-series starting in 2005 is always maintained.

The maps are compiled after the inventory is finalised in March each year. This annual cycle of activity is represented schematically in Figure 1.1.





### **1.3 Report Structure**

The next section of this report provides an overview of the emission sectors covered by the NAEI (section 2). Section 3 then describes the methods used to calculate distribution maps for these sectors across the UK. The compilation of the final emission maps and data products are covered in section 4. The quality and verification of the maps is assessed in section 5. Section 6 provides a summary of recommendations for improvements to the maps.

Three further documents support this report, providing detailed information on the mapping of:

- Large Industrial Installations<sup>3</sup>: Explanation of the methods used to estimate fuel use and emissions at large industrial installations. This document can be found in the Annex A3 of the Local and Regional CO<sub>2</sub> Emissions Estimates for 2005 2008.
- **Mapping small industrial emissions**<sup>4</sup>: Provides a detailed description of the work carried out to update the modelling of small industrial emissions for the 2006 inventory. This methodology is updated periodically but remains current for the 2008 data series.
- Changes made in the 2008 NAEI Road Transport Inventory<sup>5</sup>: This is a briefing note produced to describe the changes in the road transport fuel consumption for 2008.

http://www.decc.gov.uk/assets/decc/Statistics/climate\_change/localAuthorityCO2/463-local-regional-co2-2005-2008-technical-ann.pdf

<sup>&</sup>lt;sup>4</sup> http://www.decc.gov.uk/assets/decc/Statistics/climate\_change/localAuthorityCO2/470-mapping-small-industrial-emissions.pdf <sup>5</sup> http://www.decc.gov.uk/assets/decc/statistics/regional/road\_transport/1\_20100621151726\_e\_@@\_2008naeiroadtransportinventorychanges.pdf

<sup>&</sup>lt;u>ппрл/тимикассь. дот. имазосто/иссь/згалолединализи папорогит 2010021151720 е 受受 2000 наеноациаnsportinventory</u>

# **2 National Inventory Compilation**

The NAEI compiles emissions for a number of individual emission sectors to produce a detailed and accurate estimate of emissions across the UK. For each sector a national total emission estimate is produced from a combination of reported emissions and estimates based on modelling. The NAEI obtains data on fuel consumption from the Digest of UK Energy Statistics (DUKES). National totals based on these data are further refined for some sectors taking into account other more detailed data from the regulators of industrial processes: the Environment Agency, the Scottish Environmental Protection Agency (SEPA) and the Department of the Environment Northern Ireland (DOENI). Emission estimates are calculated by applying an emission factor to an appropriate activity statistic. That is:

#### Emission = Factor × Activity

Emission factors are generally derived from measurements made on a number of sources representative of a particular emission sector. Examples of emission factors include the amount of  $NO_x$  emitted from a car per kilometre it travels and the amount of  $SO_2$  emitted from a power station per tonne of coal burned.

Activity statistics are obtained from Government statistical sources, such as the Digest of UK Energy Statistics (DECC, 2009), Transport Statistics Great Britain (DfT, 2009), and from organisations such as trade associations and research institutes e.g. the UK Petroleum Industries Association (UKPIA) provides data on the sulphur content of fuels, and the Institute of Grassland and Environmental Research (IGER) which provide data on livestock numbers and fertiliser usage.

A detailed breakdown of the NAEI source sectors for  $NO_x$  in 2008 is shown in Appendix 1, and a summary aggregated to UNECE SNAP sectors is shown in Figure 2.1. Emission estimates of  $NO_x$  are in fact compiled in considerably more detail. The  $NO_x$  inventory will be used throughout this report as an aide to illustrating the mapping methods used.



Figure 2.1: UK NO<sub>x</sub> Emissions in 2008 by UNECE Source Sector

The relative contribution of emissions from different sectors varies by pollutant. The NAEI report (Murrells et al, 2010) provides details of emissions by sector for all pollutants.

# 3 Methods for calculating emission distributions

The spatial characterisation of emissions across the UK is built up from the component distributions for each NAEI emission sector. These individual sectoral distributions are developed using a variety of statistics appropriate to each sector. For large industrial 'point' sources, emissions are compiled from detailed official sources prepared by the Environment Agency, SEPA, DOENI and Local Authorities. These enable both the geographic location of emission and the magnitude of emission to be well characterised. For other sources that are distributed more widely across the UK (known as 'area' sources) and for which less detailed information on the location and magnitude of emission is available, a map the distribution of emissions is generated using appropriate surrogate statistics at a sector. The method used for each source sector varies according to the data available. Table 3.1 presents the types of mapping distributions used for each of the UNECE sectors within the NAEI. The mapping methods used to develop these distributions are explained in the following sections.

Method		UNECE source sectors									
		2	3	4	5	6	7	8	9	10	11
Agriculture								$\checkmark$		✓	
Airports								✓			
Domestic		✓			$\checkmark$						
IDBR agriculture		✓									
IDBR commercial & public		✓									
IDBR employment	$\checkmark$	✓	✓	✓		✓		$\checkmark$	$\checkmark$		
IDBR industry			✓								
Landfill									✓		
Landuse						✓			✓	<ul> <li>Image: A set of the set of the</li></ul>	✓
Offshore	$\checkmark$				$\checkmark$				✓		
Other				✓	$\checkmark$			✓			✓
Points	✓	✓	✓	✓	$\checkmark$	✓			✓		
Population				✓	$\checkmark$	✓		$\checkmark$			$\checkmark$
Rail								$\checkmark$			
Road transport				$\checkmark$			$\checkmark$				
Shipping				$\checkmark$				$\checkmark$			

Table 3.1: Methods used to map emissions in each of the 11 UNECE source sectors

### 3.1 Large industrial and commercial sources

The NAEI receives detailed data on individual large sources in the industrial and commercial sector, also called 'point sources'. A point source is an emission source at a known location such as an industrial plant or a power station. Emissions from large point sources across the UK may be either collectively responsible for the full national total emission for that sector (such as coal-fired power stations where the sector is made up of large operational facilities for which emission reporting is mandatory) or in part (such as combustion in industry, for

which only the large sites within the sector are required to report emissions). In the latter, the residual emission (i.e. the proportional of the national total emission not accounted for by individual installations) is mapped as an area source.

Emissions for the point sources are compiled using a number of different data sources and techniques. For convenience, the point source data can be divided into four groups:

- Point sources, largely regulated under the Integrated Pollution Control (IPC) or Integrated Pollution Prevention and Control (IPPC) regulatory regimes, for which emissions data are available to the NAEI from the Environment Agency's Pollution Inventory (PI), from the Scottish Environment Protection Agency's Scottish Pollutant Release Inventory (SPRI), from the Inventory of Sources and Releases (ISR) produced by the Department of the Environment (Northern Ireland) or direct from process operators or trade associations.
- 2. Point sources registered with and trading emission credits under the EU-Emissions Trading Scheme (EU-ETS)
- 3. Point sources, regulated under Local Authority Pollution Control/Air Pollution Control (LAPC/APC) in England and Wales, and in Scotland respectively, for which emissions data are estimated by AEA on the basis of site-specific data collected from regulators.
- 4. Point sources where emissions are modelled by distributing national emission estimates over the known sources on the basis of capacity or some other 'surrogate' statistic.

For emissions grouped into (1) above, the most important source of information is the PI which includes emissions data for most pollutants covered by the NAEI. The PI covers processes regulated by the Environment Agency in England and Wales under IPC and IPPC. It does not include any data on processes regulated under LAPC or IPPC by local authorities in England and Wales. Reporting of emissions started in 1991 and is conducted annually. The quality and quantity of reported data has increased in recent years and the level of reporting is very high from the late 1990s onwards. From 1998 onwards, emission reporting is only required where emissions exceed a 'reporting threshold', e.g. for carbon monoxide, the reporting threshold in 2003 was 100 tonnes and this means that some smaller point sources do not have to report emissions.

The SPRI was first compiled for 2002 and from 2004 onwards, the was compiled annually. As with the PI, process operators do not need to report emissions which are below reporting thresholds.

The ISR contains annual data from 1999 onwards and also relies on a reporting threshold to eliminate the need for smaller sources to report emissions.

Additional data on  $NO_X$  and  $SO_2$  emissions from processes subject to the Large Combustion Plant (LCP) Directive are available for Northern Ireland and Scotland for 1990 and for all years from 1992 onwards. The LCP data also includes data for processes in England and Wales although in many cases these data are also available from the PI.

Some process operators and trade associations also provide emissions data direct to AEA. Notable examples include:

- Corus UK Ltd, who provide data for integrated steelworks broken down into emissions from sinter plant, blast furnaces, basic oxygen furnaces, electric arc furnaces, flaring/losses, stockpiles and combustion plant. PI emissions data for the steelworks does not give this breakdown;
- United Kingdom Petroleum Industry Association (UKPIA) supply emission data for process sources of VOC and combustion processes at crude oil refineries;
- Oil & Gas UK provide emissions data for offshore oil and gas exploration and production installations as well as various onshore installations linked to the production of oil and gas. These data are taken from the Environmental Emissions Monitoring System (EEMS) database which is compiled for Oil & Gas UK and DECC.

The use of carbon dioxide emissions data from the EU ETS requires careful cross-checking with the PI/SPRI/ISR data, and also data from trade associations and process operators. This need arises because there is considerable duplication of emissions in these various sources and it is vital that where emissions data are included from the EU ETS dataset, that the same emission record are not also included from other sources. The cross-checking has requires a thorough understanding of how the various processes permitted under IPC/IPPC and reported in the PI/SPRI/ISR, correspondingly relate to processes that are permitted under EU ETS. Identifying the same process in each of the data sets is not always straightforward since operator names, site names and even site addresses and postcodes can differ. Over the past few years the NAEI's understanding of these relationships has improved greatly and this has led to some revision of data from one version of the maps to the next.

A further complication is that even where a given installation is present in both the EU ETS and other data sets, the exact scope of the emissions data may not be the same. For example, emissions data in the PI will include carbon dioxide from biofuels, whereas the EU ETS data will not. The PI will also include emissions from driers, furnaces and other plant where fuels are burnt to provide heat which is used within the combustion device. In many cases, the EU ETS data set will exclude the emissions from these types of plant. As a result, there is a need understand how the scope of each IPC/IPPC permit compares with the scope of each EU ETS permit. This is a major task which would require significant resources to do fully. As an interim proportionate measure, resources have been focussed on understanding the relative scope of permits for those installations which report very different carbon emissions in different data sets. Good progress has been made in understanding key differences, even so full understanding is a work in progress requiring further resourcing going forward. One sector that is particularly complex is that of the terminals receiving crude oil and gas from the North Sea. For these facilities we have emissions data from the EU ETS, the PI & SPRI, and also from the EEMS database, compiled for UK Oil & Gas & DECC. These three data sets often contain very different emissions data for the same installation, and it is not always possible to identify a robust reason for this. Carbon dioxide point source emissions data for complex sources such as these are subject to a high degree of uncertainty and liable to be revised if new information becomes available.

The EU ETS data gives detailed information on the types of fuels burnt at each site. This is used to split emissions data for pollutants other than carbon dioxide that are available from the PI, SPRI & ISR. The procedure involves generating a fuel consumption profile for each facility and year. Subsequently, a series of default emission factors are used to calculate a theoretical emission of each pollutant and fuel type. These theoretical emissions are then used to calculate an emissions profile for each facility, indicating the likely distribution of emissions between the different fuels burnt at that site. Finally, the emissions profile is combined with the emission data reported in the PI/SPRI/ISR to give fuel-specific emission estimates.

Point source data for some processes regulated under LAPC/APC are based on information obtained on a periodic basis from regulators. This is an important information stream for processes using solvents which are significant sources of VOC emissions but are not included in the PI.

It should be noted, however, that even given the comprehensive information compiled in the above registers and datasets, point source data are not available for all processes. For sources below the reporting thresholds described above or, in the case of processes regulated by local authorities, the NAEI may not collect any emissions data from the regulator. Furthermore, some point sources are not regulated. In these cases, point source data is generated using national emission factors and a 'surrogate' activity statistic. Examples of this approach are given below:

• Estimates of plant capacity, including estimates made by AEA can be used to allocate the national emission estimate. This approach is, for example, used for bread

bakeries where AEA have estimated the capacity of each of about 70 large mechanised bakeries.

- Emission estimates for one pollutant can be used to disaggregate the national emission estimate of another pollutant. For example, emissions of PM<sub>10</sub> from certain coating processes have been estimated by allocating the national total to sites based on their share of the national VOC emission.
- Assuming that plants which do not report emissions have similar rates of emissions as plants within the same sector which do report emissions. In cases where point source data are available for the sector from the PI, emissions data may be missing for a small proportion of sites, generally either because the process is small and emissions are below reporting thresholds or because the site closed that year and did not therefore submit a report. In these cases, emissions are calculated by assuming that these sites will emit at the same rate as other sites for which emissions data are available.
- Emissions can be distributed using surrogate data other than capacity. For example, in the case of Scotch malt whisky distilleries, emissions of VOC from distilling are distributed using capacity except in cases where this is not known and then the number of stills is used as a measure of the scale of operations and therefore emissions.
- Assuming that all plants in a given sector have equal emissions. In a few cases where there are relatively few plants in a sector but no activity data can be derived, emissions are assumed to be equal at all of the sites.

With the possible exception of using plant capacity, many of the approaches listed above will yield emission estimates which are subject to a higher than normal degree of uncertainty. However, most of the emission estimates generated using these methods are, individually, relatively small and the generation of point source data by these means is judged better than mapping the emissions as area sources.

Table 3.2 shows the contribution to UK total emission from point sources for the NAEI's 25 core pollutants. The contribution from reported or estimated emission is also indicated. In some cases, emissions data reported in the PI or similar sources must be 'interpreted' in order to yield point source data. An example would be the case of VOC emissions from a chemical process where emissions of individual VOC species might be reported but not emissions of total VOC. The NAEI team use expert judgement to decide whether to assume that the individual VOC species reported were the only VOCs emitted or whether to make an allowance for other species being emitted. In such cases, point source data are treated as NAEI calculated emission estimates rather than reported data. The calculated emissions also include all point sources data based on data supplied by regulators for LAPC/APC processes.

The larger proportion of  $NO_X$ ,  $SO_2$ , HCl and heavy metals point source emissions are mapped based on reported data. This is because the main sources are power stations and other large combustion plant where the level of reporting is extremely high. However, 58% of VOC point source data are based on NAEI calculations, reflecting the need for further processing of reported data to yield suitable data.  $PM_{2.5}$  emissions are calculated from  $PM_{10}$ reported and estimated emissions based on particulate speciation factors for each source type. The point source data for  $PM_{2.5}$  is therefore 100% estimated.

Table 3.2 also shows the percentage of national emissions which are mapped as point sources. For  $SO_2$ , some of the heavy metals and HCl, a large proportion of emissions are treated as point sources. For most other pollutants this percentage is less than 50% and often less than 20% of national emissions. This is due to the fact that a high proportion of emissions of these pollutants are from sources which cannot be treated as point sources (road transport, aircraft, shipping, domestic fires, small industrial combustion units, consumer-product use, agriculture, petrol stations, dry cleaning shops, gas pipelines etc.).

Pollutant	% of national emissions treated as point sources	% of point source emissions from Reported data	% of point source emissions from Estimated data
1,3-butadiene	9%	51%	49%
Ammonia	2%	80%	20%
Arsenic	18%	52%	48%
Benzene	7%	73%	27%
Benzo[a]pyrene	13%	29%	71%
Cadmium	55%	48%	52%
Carbon Dioxide as Carbon	52%	95%	5%
Carbon Monoxide	17%	92%	8%
Chromium	50%	60%	40%
Copper	21%	54%	46%
Dioxins (PCDD/F)	44%	39%	61%
Hydrogen Chloride	56%	90%	10%
Lead	75%	78%	22%
Mercury	74%	66%	34%
Methane	21%	99%	1%
Nickel	33%	73%	27%
Nitrogen Oxides as NO2	26%	96%	4%
Nitrous Oxide	10%	98%	2%
Non Methane VOC	23%	42%	58%
PM10 (Particulate Matter < 10um)	19%	64%	36%
PM2.5 (Particulate Matter < 2.5um)	19%	0%	100%
Selenium	77%	31%	69%
Sulphur Dioxide	68%	94%	6%
Vanadium	11%	79%	21%
Zinc	51%	29%	71%
		L	

 Table 3.2: Point source emission fraction of NAEI UK total emissions for key pollutants and contributions of reported and estimated data

Further information on calculation of point source emissions estimates can be found in documentation provided in the Large Industrial Installations<sup>6</sup>

#### **Possible future improvements**

The amount of emissions being mapped as point sources is close to its maximum feasible level. However, some improvements may be realised in the future for medium-sized combustion plant (20-50  $MW_{th}$ ) and industrial processes due to developments in emission trading, reporting of emissions from IPPC processes not previously regulated under IPC, and use of local inventory data.

<sup>&</sup>lt;sup>6</sup> http://www.decc.gov.uk/assets/decc/Statistics/climate\_change/localAuthorityCO2/463-local-regional-co2-2005-2008-technical-ann.pdf

The utilisation of EU-ETS data, and some fuel usage data in the Pollution Inventory has aided the development of point source data for combustion plant. However, the processing of the data from these sources is time-consuming and complex for reasons outlined previously.. It is the NAEI's expectation that the lead time in development of the point source data for medium-sized combustion plant will be 2-3 years and the extent of improvement in the quality of mapping that results, will depend upon developments in the EU-ETS, PI/SPRI/ISR and DUKES data sets.

Under the European Pollutant Release Inventory (EPER) Part A2 processes, regulated by local authorities, are required to be reported and this has had a small beneficial impact on emissions mapping, with some limited additional data becoming available. However, due to the relatively high reporting thresholds used for EPER, only very few A2 processes need to provide emissions data, and this limits the usefulness of EPER for UK mapping work. The migration of EPER to the European pollutant release and transfer register (e-PRTR) for the 2007 reporting year is however, expected to have an impact on the quantity and quality of data available for use in the mapping and may warrant evaluation when these datasets become available.

Local inventory data are potentially useful contributors for national emission maps. However there are a number of barriers to the use of such data:

- 1. Obtaining data from local inventory studies is time-consuming since the detailed data are rarely readily available from centralised resources, instead data must be obtained through negotiation with the individual data holders.
- 2. Once obtained, the resources required to convert or process the data into formats used for national maps is not insignificant.
- 3. The quality of local inventory data is poorly defined and variable. A review of some local inventory data has indicated that, in a few cases where comparable data are available in the national inventory, the local data are probably not as reliable. These cases related to Part B processes emitting VOC.
- 4. Using data from local inventories creates a problem in that these data sources often provide an incomplete geographical picture of emissions for a sector, unlike the EPER, EU-ETS or PI/SPRI/ISR data which are available for the UK as a whole

In view of the difficulties listed above, there has been only limited incorporation of point source data from local inventories to date in the national maps. This does not preclude the use of this kind of dataset, but reflect the resource-intensive nature of the task and relative benefits of the improvements gained.

# 3.2 Other industrial, commercial and public sector consumers

As indicated above, the emissions at large point sources represent a substantial proportion of the total industrial and commercial fuel consumption. Subtracting the site-specific emissions from the NAEI sector total calculates a residual emission<sup>7</sup>, which are treated as an 'area source'. This residual emission is allocated to the UK grid using distribution maps for each sector derived from employment statistics. Each distribution map provides the percentage of the UK's residual sector fuel consumption estimate to be allocated to each  $1 \text{ km}^2$ .

The distribution maps used to provide spatial context to the area source emissions are not updated each year. Instead, they are updated when significant changes occur in the level of activity or when the data sets are considered to have aged sufficiently to warrant updating. The uncertainty arising in an over simplification of real world conditions using this approach

<sup>&</sup>lt;sup>7</sup> Residual emission = national total – point source emission total

is considered small compared with that introduced by the use of employment statistics as a surrogate for spatial distribution of activity in each sector and therefore, fuel consumption.

The document **Mapping small industrial emissions**<sup>8</sup> describes how the distribution maps were prepared. The method is summarised below.

The distribution maps for other industrial, commercial and public sector activities were last updated for the 2006 inventory. The following data series were used:

- Office of National Statistics Inter-Departmental Business Register (IDBR) which provides data on employment at business unit level by Standard Industrial Classification (SIC) code; and
- Energy Consumption in the UK data on industrial and commercial sector fuel usage

The SIC codes in the IDBR database were matched with the energy datasets in order to calculate total employment for each energy sector. From this, fuel intensity per employee was calculated. These intensities could then be applied to employment statistics aggregated to a 1 km<sup>2</sup> grid for the UK to make maps of fuel use.

In the case of industrial sectors this energy intensity calculation was done at the level of 4 figure SIC codes (over 250 separate industry types) to retain the level of detail required for the mapping; aggregation of SIC codes would have resulted in a reduction in the quality of the final distribution. Energy consumption data was available for coal, manufactured fuel (SSF), LPG, gas oil, fuel oil and natural gas. These were aggregated to calculate industry specific fuel intensities for coal, SSF, oil and gas.

For commercial and public service sectors the employment data were aggregated to the same sectors as the energy data. These sectors are presented in Table 3.3.

Service sector energy consumption sub sectors	NAEI emissions sector		
Commercial Offices	Commercial		
Communication and Transport	Commercial		
Hotel and Catering	Commercial		
Other	Commercial		
Retail	Commercial		
Sport and Leisure	Commercial		
Warehouses	Commercial		
Education	Public admin and services		
Government	Public admin and services		
Health	Public admin and services		

 Table 3.3: Service sector energy consumption sub-sectors and NAEI sectors

The IDBR employment data at local unit level were aggregated to 4 figure SIC codes at 1 km<sup>2</sup> resolution using grid references provided in the database. The employment totals for each sector were then multiplied by the appropriate fuel intensity values to make maps of fuel use across the UK. It has been assumed that fuel intensity for each sector is uniform across the sector. Although this latter assumption is likely to represent a simplification of real world conditions, it is necessary given the absence of more detailed estimates of fuel use.

The resulting fuel distributions were refined using a subsequent set of modelling steps, described below:

<sup>&</sup>lt;sup>8</sup> http://www.decc.gov.uk/assets/decc/Statistics/climate\_change/localAuthorityCO2/470-mapping-small-industrial-emissions.pdf

- Sites of employment corresponding to the locations of the highest emissions (as defined by the NAEI point source database) have been removed from the distributions to prevent double counting of emissions at these locations (emissions are mapped as point sources).
- High-resolution gas consumption data at Middle Layer Super Output Area (MSOA) level has been used to adjust the distribution of gas predicted by the employment and energy intensity data. An adjustment has also been applied in Northern Ireland based on Local Authority level gas consumption data.
- Distributions of fuel and gas oil have been modified so that consumption is lower per employee in grid squares covered by Smoke Control Areas
- The distribution of coal consumption has been limited to locations outside Smoke Control Areas.
- There have been no maps generated of Smokeless Solid Fuel consumption as part of this work. Table 4.6 of Energy Consumption in the UK indicates that there was only one sector using manufactured fuel (Manufacture of coke oven products). The fuel use in this sector was mapped predominantly by point sources.

The fuel use calculated for each receptor grid cell was normalised by dividing it by the total fuel consumption for the relevant sector. This provided the required distribution maps giving the percentage of the total residual to be allocated to each 1 km square.

Further maps of employment have also been generated from the IDBR database to be used as proxy datasets for non-fuel based emissions distributions. Examples of these are dry cleaning, petrol stations and industrial chemical manufacture. Wood combustion by industry was distributed on the same basis as coal.

### 3.3 Road transport

Hot exhaust emission and the related fuel consumption estimates are calculated within the NAEI using fuel consumption and emission factors for each vehicle type. These in turn are calculated on the basis of the composition of the vehicle fleet (age profile and fuel mix). The resulting fuel consumption and emission factors are applied to detailed spatially resolved traffic movements. In addition the fleet mix varies by location and as a result different emission factors are applied to different road types in different geographical areas. Vehicle fleet age profiles and fuel mix are fixed at a national level.

#### **3.3.1 Emission factors and fuel consumption factors**

Fuel consumption factors and emission factors combined with traffic data for 6 major classes of vehicles are used to calculate national fuel consumption and emission estimates for passenger cars, light goods vehicles (LGVs), rigid heavy good vehicles (HGVs), articulated HGVs, buses/coaches and mopeds/motorcycles. The vehicle classifications are further subdivided according to fuel type (petrol or diesel) and the regulatory emission standard the vehicle or engine had to comply with when manufactured or first registered. The vehicle Euro emission standards apply to nitrogen oxides, particulate matter, carbon monoxide and hydrocarbons but not to  $CO_2$  or fuel consumption. Nevertheless, the Euro standards are a convenient way to represent the stages of improvement in vehicle or engine design that have led to improvements in fuel economy and are related to the age and composition profile of the fleet. For example, the proportion of pre-Euro 1, Euro 1, Euro 2 and Euro 3 vehicles in the national car fleet can be associated with the age of the car fleet (year-of-first registration).

Fuel consumption and emission factors are expressed in grams of fuel or emissions respectively per kilometre driven for each detailed vehicle class and are taken from two distinct data sources:

• Vehicle emission test data provided by the Transport Research Laboratory (TRL) over different drive cycles from measurements on a limited sample of vehicles;

• Car manufacturers' data on CO<sub>2</sub> emissions and surveys with freight haulage companies on fuel efficiency of HGVs.

However, the amount of fuel that a vehicle consumes in travelling any given distance depends on many parameters such as; the driving cycle, how aggressively the vehicle is driven, the load applied to the engine, state of maintenance, tyre inflation and use of air conditioning etc. It is impossible to know about all these parameters for every vehicle on the road and averages have to be used for what are in fact quite variable rates of fuel consumption for different groups of vehicle types.

The fuel consumption factors used in the NAEI calculations are polynomial functions expressing the relationship between fuel consumption rate and average vehicle speed for each class of vehicle. These are based on measurements of fuel consumption and emission rates for samples of in-service vehicles taken off the road and tested under controlled laboratory conditions over a range of different operational drive cycles. The factors used by the NAEI come largely from a database held by TRL of factors measured over different test cycles that simulate real world conditions. However, we have had to fill in gaps using expert judgement, especially for more modern classes of vehicles and technologies that have yet to be tested. This is especially the case for large HGVs and buses where the test sample size is small; it is very expensive to carry out these tests and they require special facilities. Using average speed of a vehicle is itself a crude, but so far, the only kind of indicator to the way a vehicle operates. There could be many different cycles, all with the same average speed, that have different amounts of acceleration and deceleration built into them and for each of these, the fuel consumption rate will be very different.

Emissions for the key air quality pollutants (NO<sub>x</sub>, PM<sub>10</sub>, PM<sub>2.5</sub>, SO<sub>2</sub>, NMVOC, Benzene, 1,3-butadiene, and CO) are calculated using speed related emission factors multiplied by vehicle flows on the road network. For other pollutants such as CO<sub>2</sub> and heavy metals, fuel consumption is used as a proxy for the distribution of emissions. The document **Changes made in the 2008 NAEI Road Transport Inventory**<sup>9</sup> describes the changes made to the information and method used to calculate the 2008 time series in fuel consumption and emissions by road transport.

The emission maps are calculated from the speed related emission factors multiplied by vehicle flows. The method for calculating these maps is described in the next section.

#### **3.3.2 Road transport mapping methodology**

The base map of the UK road network used for calculating the hot exhaust road traffic emissions is derived from the Ordnance Survey Meridian 2 dataset. This provides locations of all roads (motorways, A-roads, B-roads and minor roads) in Great Britain. In addition, a dataset of roads in Northern Ireland was obtained from the Land & Property Services<sup>10</sup> which is responsible for all Ordnance Survey of Northern Ireland. The traffic flow data is available on a census count point basis for both GB (DfT, 2009) and Northern Ireland (Roads Service, 2009). However, the coverage in GB is considerably more dense than that for Northern Ireland, although some new NI count points become available every year. Figure 3.1 shows part of the dataset described above.

<sup>&</sup>lt;sup>9</sup> http://www.decc.gov.uk/assets/decc/statistics/regional/road\_transport/1\_20100621151726\_e\_@@\_2008naeiroadtransportinventorychanges.pdf <sup>10</sup> http://www.lpsni.gov.uk/





Figure 3.1: A map to illustrate the detail in the road network and count point database

The traffic flow data on major roads include counts of each type of vehicle as an annual average daily flow. These have been aggregated up to annual flows by multiplying by 365. The Annual Average Daily Flow statistics take account of seasonal variation through the use of 'expansion factors' applied to the single day counts based on data from automatic counts for similar roads and vehicle types. Some Northern Ireland count points only record total vehicles, rather than a split of different vehicle types. An average vehicle split has therefore been applied to these.

Each traffic count point has been allocated to a section of the major road network according to the road name and its proximity to the road – i.e. each link has the nearest count point with the same road name assigned to it. Calculations of emissions and fuel use have been done at the 1 km<sup>2</sup> resolution level by splitting each road link using an intersection with a 1 km<sup>2</sup> grid. Vehicle kilometres of travel (VKM) by each vehicle type were calculated from the traffic flow rates, fuel splits and the lengths of each road type.

On minor roads, traffic flow data are not available on a link by link basis for the majority of minor roads. However, data are available for a small number of roads and this have been used to enhance the accuracy of the mapping. Minor road count points have been allocated to minor roads using the approach for major roads described above. Local information applicable to each census point (Local Authority, Area type) is also generated.

Traffic flows in the majority of minor roads have been modelled using experimental statistics on average regional flows and fleet characteristics provided by data from DfT as in previous years. Regional average flows by vehicle type have been applied to each type of minor road (B and C roads or unclassified roads). For Northern Ireland vehicle-specific minor road flows have been calculated from data in the Traffic and Travel Information 2008 report (Roads Service, 2009) which provides average flows for all vehicle types by minor roads and also average vehicle splits by the same road types.

Furthermore, minor roads VKM estimates at a second tier level have been provided by DfT to ensure consistency between the NAEI and DfT modelling. These have been used to correct the estimates of VKM in the NAEI mapping on road links where regional traffic flow average was applied.

The age of the UK vehicle fleet is assumed to not vary regionally. The fuel split for passenger cars between petrol and diesel has been allocated with a different mix assumed for urban, rural and motorway roads (major and minor). 90% of the LGVs on all roads are diesel, the remainder being assumed to be petrol. For other vehicles, it has been assumed that 100% of motorcycles are fuelled by petrol and 100% of heavy goods vehicles and buses run on diesel.

The next step after mapping vehicle movements was to apply the emissions and fuel consumption factors discussed earlier. Each major road link has been assigned an area type using the DfT definitions of urban area types shown in Table 3.4 below. Vehicle speeds have then been assigned to different road types (built up and non-built up A-roads and motorways) within each area type.

Area Type ID	Description	Population
1	Central London	N/A
2	Inner London	N/A
3	Outer London	N/A
4	Inner Conurbations	N/A
5	Outer Conurbations	N/A
6	Urban Big	> 250,000
7	Urban Large	>100,000
8	Urban Medium	> 25,000
9	Urban Small	> 10,000
10	Rural	N/A

Table 3.4: Department for Transport Urban Area Type Classification

VKM estimates by vehicle type for each road link are multiplied by fuel consumption or emission factors taking into account the average speed on the road of concern. These calculations were performed for each major road link in the road network resulting in maps of fuel use by fuel type and emissions by pollutant. Each road link is then split into sections of 1km grid squares which enables the, aping of fuel consumption estimates across the UK.

A similar calculation is performed for minor roads estimates, using average speeds for different types of minor roads and applying the relevant fuel consumption factor for that road type to the VKM data modelled as described above. Calculations for minor roads are undertaken at a resolution of 1 km<sup>2</sup> across the UK.

#### 3.3.3 Other Road transport emissions

Cold start emissions are produced by vehicles before the engine has reached normal operating temperature. Estimates of the distance travelled by vehicles whilst operating under cold start conditions are available for cars by average trip length and trip type using the method described in the Greenhouse Gas Inventory report for 2008 (MacCarthy et al, 2010). Cold start conditions in Northern Ireland are assumed to have similar characteristics to those

in Great Britain. These data enable estimates of the associated emissions to be determined at the UK level.

The trip types used in these calculations are classified as 'home to work', 'home to other locations' and 'work based' trips. 'Home to work' related emissions are distributed across the UK using detailed population census data on whether people use their car as their method of transport to work. Emissions for trips from home to other locations are mapped using data on car ownership. Work based cold start emissions are mapped on a distribution of all employment across the UK. These have been reconciled with the outputs from DfT's TEMPRO model (DfT, 2009). The ratio of Northern Ireland to UK cold-start emissions, for each pollutant, is calculated from the NAEI road transport model. These emissions estimates are based on the COPERT III (Ntziachristos and Samaras, 2000) model for cold-starts.

Evaporative emissions of benzene and NMVOC from petrol vehicles have been distributed using a map of petrol fuel use on all roads derived using the method described in section 3.3.2 above.

 $PM_{10}$  and  $PM_{2.5}$  emissions from brake and tyre wear are distributed using a 1 km<sup>2</sup> resolution map of estimated total vehicle kilometres on major and minor roads.

There are two other small sources of emissions from road traffic included in the inventory. These are combustion of waste lubricants and emissions from LPG vehicles. Both of these sources are distributed using estimates of total vehicle kilometres calculated from the NAEI maps of traffic flows.

### **3.4 Domestic**

The distribution of domestic emissions was determined in two ways. One method was applied to England, Scotland and Wales (Great Britain) and the other method was applied to Northern Ireland. The distributions were then combined to provide a distribution map for the whole of the UK.

The NAEI's approach to modelling domestic fuel use has been improved for the 2008 by using high resolution distributions of domestic solid and liquid fuel use in Great Britain (the 2005 methodology for Northern Ireland remains unchanged).

A summary of the methodology is provided below.

#### 3.4.1 Domestic fuel mapping in Great Britain

The revised methodology has adopted a new logic to model the distribution of the domestic fuel consumption across Great Britain. Figure 3.2 presents a high level summary of the data model which was built to manipulate and analyse the large quantities of data used in this study.





The following data series were used in the model:

- 1. Ordnance Survey Code-Point data<sup>11</sup>
- 2. Office for National Statistics 2001 Census returns on Household types
- 3. DECC sub-national energy consumption statistics<sup>12</sup>:
  - i. electricity and gas at Lower Level Super Output Area (LLSOA) 2008 for England and Wales
  - ii. electricity and gas at Middle Level Super Output Area (MLSOA) 2008 for Scotland
  - iii. 1x1km gas consumers & consumption for Great Britain
- 4. DEMScot: Domestic Energy Model data for Scotland (Scottish Government, 2010)
- 5. Data from BRE on total energy use by dwelling and fuel type and regional data on the numbers of households using different fuels<sup>13</sup>

A summary how these datasets were implemented in the model is given in Table 3.5

<sup>&</sup>lt;sup>11</sup> November 2009 release

<sup>&</sup>lt;sup>12</sup> http://www.decc.gov.uk/en/content/cms/statistics/regional/regional.aspx

<sup>&</sup>lt;sup>13</sup> Domestic Energy Fact File 2004

Task & data series used	Application
1	OS Code Point used to generate a full-postcode spatial distribution database. Urban and Smoke Control Area attributes were allocated to each postcode, as well as ONS Output Areas (Figure 3.3).
2	ONS census return on household types used to calculate percentages of house types within each Output Area
3 i, ii & iii	Sub National energy statistics used to generate domestic electricity and gas spatial distribution databases for England / Wales and the Scotland respectively. Comparing the total number of dwellings within output areas, with gas and economy 7 consumers, a residual fuel component was estimated.
4 & 5	BRE and DEMScot domestic energy model assumptions used in combination with the postcode database to generate the domestic solid and liquid fuels distribution across Great Britain.

#### Table 3.5: Description of methods using the above data series





Further information was also provided by BRE on total energy use by dwelling and fuel type and regional data on the numbers of households using different fuels (BRE, 2006). The BRE data provided estimates of the gas use per household for various categories of house type (e.g. detached, semi-detached etc.).

It has been assumed that:

- Coal is burnt exclusively outside Smoke Control Areas
- Oil is burnt outside large urban areas (of greater than 100,000 populations) but inside the smaller cities in grid squares where there is residual demand
- Smokeless solid fuels (SSF, coke, anthracite) are burnt exclusively within smoke control areas
- Wood consumption is assumed to have the same distribution as coal

#### 3.4.2 Domestic fuel mapping in Northern Ireland

Comparable datasets to those described above for Great Britain are not available in Northern Ireland. As a result, the domestic fuel mapping for Northern Ireland uses a different method. New modelling was undertaken for the 2005 inventory that maintained consistency with a previous methodology (Pye and Vincent, 2003) with updates to key datasets.

The fuel use estimates for Northern Ireland have been generated from a wide range of data sources including:

- Northern Ireland Housing Executive household data (supplied by the NIHE 2006 PRAWL property database)
- Gas household data (supplied by Phoenix Gas 2005)
- Belfast household data (from fuel use survey undertaken by Belfast City Council 2001)
- Northern Ireland Census output area households data (supplied by the 2001 Census)
- The Northern Ireland Interim House Condition Survey 2004.
- The Northern Ireland 2005 Home Energy Conservation Report
- Other household data not covered by the above (from number of sources, including Housing Condition Survey (HCS) data).
- Household fuel use survey data from 16 Northern Ireland Local Authorities collected under their obligations to Review and Assessment of air quality under the UK's Air Quality Strategy (AQS)

The basic approach was to first determine the total number of households in each 1 km<sup>2</sup> from the Northern Ireland Census. Additional data sets were then used to constrain the proportions of fuel used by households in each 1 km<sup>2</sup> specifically covered by the data set. Thus, the Northern Ireland Housing Executive household data provided information about the fuels used in public housing. Data provided by Phoenix Gas was used to identify the proportion of houses using gas in the areas covered by the Northern Ireland gas grid. Data from the House Condition Surveys and Home Energy Conservation Report were used to provide estimates of the proportions of households using each fuel type in other areas.

#### 3.4.3 Other domestic emissions

The emissions from the domestic house and garden machinery sector are distributed across the UK using the population density map derived from 2001 Census data. The most detailed geographic level of Census data for England, Wales Scotland and Northern Ireland were converted into a 1 km<sup>2</sup> resolution grid. In some rural areas where the census units were larger than 1 km<sup>2</sup>, populations were estimated for individual grid squares on the basis of equal area weighting, i.e. assuming an even distribution of population within each census area.

#### Possible future improvements to domestic emissions distributions

- Use of OS Address point data layers to replace domestic housing data aggregated by postcode
- Optimise energy factors for England and Wales
- Roll out a similar method for Northern Ireland

### **3.5 Agriculture**

Emissions of  $PM_{10}$  and  $PM_{2.5}$  from agricultural livestock and poultry sources are distributed using agricultural census data. Detailed, farm level data within England was obtained from Defra for this purpose (Defra, 2002) and was used to generate 1 km<sup>2</sup> resolution datasets for different livestock types and poultry. For Scotland, Wales and Northern Ireland agricultural census data were only available for larger spatial units – Parishes in Scotland (Scottish Executive, 2002), Districts in Northern Ireland (NISRA, 2002) and Small Areas in Wales (Welsh Assembly, 2002). Therefore land use data were used to generate a distribution of emissions within these spatial units. The distribution of grazing land was used to distribute cattle and sheep livestock. All non-urban land was used to distribute pigs and poultry numbers. The resulting distributions for England, Scotland Wales and Northern Ireland were combined and weighted according to the relevant regional statistics on the number of livestock or poultry in these regions.

The distributions of ammonia, methane and  $N_2O$  emissions from agricultural sources are generated mapped at a 5 km<sup>2</sup> resolution by the Centre for Ecology and Hydrology (CEH). This work is undertaken as a subcontract of the NAEI. Data from the Agricultural Census for England, Scotland, Wales and Northern Ireland were combined with emission factors for livestock, fertiliser use and CEH Land Cover Map 2000 data within the AENEID model to calculate emissions maps. Ammonia, methane and N<sub>2</sub>O emissions from other non-industrial sectors are also calculated by CEH as part of the same subcontract (Dragosits and Sutton, 2010).

Incineration of animal carcases is mapped partly as a point source but mainly across all UK arable land because the location of this source is very uncertain.

Land Cover Map 2000 data from CEH is used to map a variety of other agricultural emissions. These are distributed evenly across the arable land cover map for the UK:

- Emissions of VOCs from agrochemical use;
- CO<sub>2</sub>, emissions from agricultural soils;
- Dioxin and Benzo[a]pyrene emissions from agricultural waste burning.

Agriculture stationary combustion has also been mapped using the IDBR employment data. The distribution of solid and liquid fuels was made based on the geographical distribution of gas availability, i.e. with these fuels located in grid squares with no gas available. The method used to calculate the gas availability distribution is explained in the supporting document **Mapping small industrial emissions**<sup>14</sup>.

Agricultural off-road data are distributed using a combination of arable, pasture and forestry land use data. Each of these land cover classes was weighted according to the off-road machinery activity on each land use. This used data on the number of hours of use of tractors and other machinery on these land use types, sourced by AEA for improving the UK inventory in this sector.

<sup>&</sup>lt;sup>14</sup> http://www.decc.gov.uk/assets/decc/Statistics/climate\_change/localAuthorityCO2/470-mapping-small-industrial-emissions.pdf

#### Possible future improvements

• Update of livestock and poultry distributions used for particulate matter mapping.

### 3.6 Rail

UK rail emissions are compiled using data for three locomotive journey types:

- Freight
- Intercity
- Regional

Emissions are calculated based on fuel use reported in DUKES. Rail emissions from diesel locomotive are distributed across Great Britain using maps of the UK rail network and details of the number of vehicle kilometres by journey types on each rail link. Emissions are distributed across the rail network by assigning an appropriate emission from each journey type to each rail link. The emissions along each rail link are assumed to be uniform along the length of the rail link, no information on load variations along each rail link being available.

Within Northern Ireland, fuel consumption data for 2005 was provided by Northern Ireland train operators Translink and included weekly information for all the rail links of Northern Ireland. Fuel use estimates for 2005 have been distributed over a digital representation of the Northern Ireland rail network derived from Ordnance Survey Northern Ireland datasets. These vector datasets were then converted to raster datasets to provide an accurate representation of the location of fuel used by rail transport in Northern Ireland at 1 km<sup>2</sup> grid resolution.

#### **Possible future improvements**

- Update emission mapping distribution grids using more up-to-date GIS data
- Extend scope of rolling stock EFs
- Investigate availability of base data improvements or activity data updates (DfT rail movements survey and the ACTRAFF dataset).

### 3.7 Shipping

Shipping emissions within the NAEI have historically been calculated at a national level based on fuel sales data for:

- Fuel sales for ships confined to UK waters (Coastal shipping)
- UK bunker fuel sales corrected for estimation of emissions in port and cruise in UK waters only (other UK shipping)

The NAEI has historically provided UK emission estimates for coastal shipping, international shipping and naval shipping based on fuel consumption data provided by DUKES. However, these emission estimates have uncertainties associated with the quality, completeness and coverage of the fuel consumption data and are based on the refiners' best estimate of the split of the fuel data between different activities (e.g. domestic or international shipping). More specifically, for shipping, these emission estimates are based on UK fuel sales, so vessels bunkering outside the UK are not included in the emission estimates, although these vessels may also be operating within UK waters. Further complexities are introduced when assigning a geographical distribution to the fuel consumption statistics and the associated emissions.

In 2008, Entec UK Limited (Entec), working under sub-contract to the NAEI developed a detailed gridded ship emissions inventory for UK waters. This work used up-to-date information on ship movements, vessel engine characteristics and emission factors to

quantify atmospheric emissions from shipping sources. The methodology developed was based on guidance from the latest EMEP/CORINAIR Atmospheric Emission Inventory Guidebook (2006) and relies on the following information, which largely dictates the

- Installed engine power
- Type of fuel consumed
- Vessel speed and the distance travelled (or the time spent travelling at sea)
- Time spent in port
- Installed emission abatement technologies

Emissions and fuel consumption estimates were calculated at a 5 km<sup>2</sup> grid resolution (based on the EMEP grid) for an emissions domain extending 200 miles from the UK coastline (Whall et al, 2008).

Outputs from the ENTEC shipping emissions study have been incorporated into the NAEI maps. In doing so, emissions estimates based on a 5 km<sup>2</sup> EMEP grid, have been re-mapped to a 1 km<sup>2</sup> grid based on the OSGB grid system. Subsequently, emissions within UK territorial waters (within 12 nautical miles of the coastline) have been mapped as total emissions. A detailed split of emissions by historical NAEI shipping sectors (coastal shipping, international shipping and naval shipping), is currently not available.

#### **Possible future improvements**

- Distribute national total based on ENTEC Emission Factors / activities
- Provision of a spatially disaggregated split in emission by sector

### 3.8 Aircraft

The NAEI estimates national total emissions from aircraft operating on the ground and in the air over UK up to an altitude of 1000m (equating to the takeoff and landing). Emissions estimates are calculated from the number of movements of aircraft by type at UK airports (data provided by the Civil Aviation Authority) and from estimates of fuel consumption for component phases of the take and landing cycle. Emissions from aircraft at cruise are also included in the NAEI although these emissions are not mapped.

In the 2007 emission maps, the locations of airports and their ground level footprints have been revised and mapped with the use of satellite imagery. Take off and landing emissions were allocated to the individual airports on the basis of the modelled emissions at each airport using the CAA data outlined above. In addition, at larger airports emissions from aircraft on the ground (e.g. whilst taxiing or on hold etc) have been separated from emissions whilst in the air (e.g. climb and approach phases below 1000 m). The former has been mapped evenly over the airport apron and runway, the latter over a 4 km strip adjacent to the end of the airport runways representing emissions from aircraft at climb or descent below 1000 m. For smaller airports all emissions are mapped evenly over the airport footprint.

The maps for aircraft emissions provide a useful split of emissions occurring on the ground and in the air for the air pollution modelling community.

### 3.9 Industrial off-road

Industrial off-road emissions have historically been disaggregated on the basis of employment in heavy industry. In earlier studies, modelling artefacts have resulted in emission estimates being disproportionately allocated to city centres because of the location

emissions from a vessel:

of the headquarters of many companies associated with the heavy industry and therefore employees in such areas. We reviewed the employment dataset for this study to identify and remove those instances where high industrial employment in urban areas did not correlate well with expected heavy industry activity.

### 3.10 Accidental fires and small scale west burning

The distribution of accidental fires across the UK is particularly uncertain. Distribution maps have been made using the Land Cover Map 2000 supplied by CEH. The land cover type has been matched to the type of accidental fire as shown in Table 3.6. Classes were added together on an equal basis to make aggregated land cover maps for each NAEI sector.

The 'Accidental fires - dwellings' and 'Accidental fires - other buildings' sectors have been mapped using the Census 2001 population.

NAEI Source sector	Land Cover classes
Accidental fires - forests	Broad leaved/mixed woodland Coniferous woodland
Accidental fires - straw	Arable cereals Arable horticulture Arable non-rotational
Accidental fires - vegetation	Setaside grass Natural grass Calcareous grass Acid grass Bracken Bogs (deep peat) Dense dwarf shrub heath Open dwarf shrub heath
Accidental fires - vehicles	Suburban
Small scale waste burning	Suburban
Bonfires	Suburban

 Table 3.6: Land cover data used to distribute emissions from fires

#### **Possible future improvement**

The land cover data could be augmented using regional fire statistics to improve the distribution of emissions.

### 3.11 Landfill sites

Emissions from landfill sites feature in the NAEI in two different source sectors. The first is landfill gas combustion which is used for electricity generation and/or heating. These emissions are mapped as point sources. The second sector comprises emissions from the landfill sites themselves. Emissions are estimated for 1,3-butadiene, benzene, dioxins, ammonia and VOC. This sector is mapped as an area source.

The level of and quality information available to the NAEI for 2009 mapping exercise on the location and scale of landfill activity varied across the UK. Information on the geographical extent of landfills sites in England and Wales was available from the Environment Agency in a GIS format. Within Scotland and Northern Ireland the geographic location of the landfill was available from SEPA and DOENI but not the spatial extent. SEPA figures however, also provided estimates if infill received by each landfill in 2008. Using this information, estimates of the MSW waste arisings received by each landfill we made and used as a proxy for the emissions rates for landfills in the UK. Distributions were calculated using;

- **AEA** 
  - Regional MSW waste arising by Devolved Administration
  - Actual infill rates for landfills in Scotland for 2008
  - Area of landfill as a proxy for infill rate for landfills in England, Wales and Northern Ireland (information on the area of landfill were absent for Northern Ireland, all operations were assumed to be of similar size)

Ammonia and methane emissions from landfills have been mapped at  $5 \text{ km}^2$  resolution by CEH as part of a subcontract to the NAEI to map all non industrial ammonia emissions (Dragosits and Sutton, 2010). This uses a combination of landfill site locations where available and population distributions to fill gaps where the landfill site locations are not available.

### 3.12 Offshore

Emissions from offshore installations are provided by UKOOA. These include:

- Offshore flaring
- Offshore loading
- Offshore own gas use
- Offshore oil and gas operations

These estimates are aggregated for the UK totals. For the UK emission maps, the reported emissions by installation are assigned to locations provided by the UK Hydrographic Office based on the Company Name and field location.

Diesel and gas oil fuel use at offshore facilities is incorporated in the NAEI coastal shipping sector as the majority of fuel burned by offshore operations is for shipping.

#### Possible future improvement

Update coordinates of offshore installations and proportional emission rates

### 3.13 Other sectors

Emissions of  $PM_{10}$  from mines and quarries are distributed using data from the British Geological Survey on the locations of mines and quarries in the UK. This data set includes the location of the site and a brief description of products and commodities. There is no data on actual production amounts for each mine or quarry. Regional production statistics for the various commodities are therefore distributed across the sites in each region on an equal weight basis. Only open cast mining and quarrying activities are included. The production statistics are aggregated to 1 km<sup>2</sup> grid and  $PM_{10}$  emissions distributed on this basis.

# 4 Emission maps and data products

### 4.1 Compilation of maps

The 1 km<sup>2</sup> resolution maps are compiled within a GIS. Maps for each sector are generated by summing the spatially distributed proportions of the NAEI national total. Area source emissions are aggregated for the 11 UNECE source sectors and point sources emissions aggregated to a 1km<sup>2</sup> grid are then added to the area source emissions to calculate a UK total emission map such as that shown in Figure 4.1 below.





Figure 4.2 below shows the locations of the large point sources in the industrial and commercial sector.





Further examples of the maps are shown in Appendix 2.

### 4.2 Data products

The 2008 NAEI emission maps are available in 1 km<sup>2</sup> resolution from the following online resources:

- <u>www.naei.org.uk/datawarehouse</u>
- www.naei.org.uk/mapping/mapping\_2008.php

These resources provide:

- The 1 km<sup>2</sup> resolution inventory divided into separate files for each main air quality pollutant and each local authority. It is intended that these files are used to aid local authorities in developing their own emission inventories.
- The full UK area source emissions maps by pollutant in ASCII grid format. Point source data is available in Excel files.

The full mapped inventory for all pollutants is also available on CD or via ftp download (email: <u>air.emissions@aeat.co.uk</u>)

Figure 4.3: NAEI Emissions Maps 2008 website

N ational A tmospheric E missions I nventory			T	4
Home Reports	Data Warehouse Methodology	FAQs Links		house Gas ality Archive
Dataset Emission Maps	Inventory Year (j) 2008	Issue 1	<b>Published</b> 28/06/2010	Status
Emissions Maps 2008 Select a pollutant map from be Show All Maps	low and click the 'Go!' button:			
Home Reports	Data Warehouse Methodology	FAQs Links		house Gas ality Archive
Ques Local	Authority Support: Tel: 0	<u>air.emissions@ae</u> 8 <b>70 190 6050</b> Call i hours answerphor	s charged at national ra	ite
	ice is part of the UK National Atmo: d the Devolved Administrations.	spheric Emissions Inve	entory maintained by AEA o	n behalf of

# **5** Quality of mapping verification

### 5.1 Estimating quality and uncertainty

As noted in previous sections, the mapping of emissions has been divided into point and area sources. In general, mapped point source data is expected to be more accurate than that for area sources being based on reliable data used for regulatory purposes. As we have seen, area source emissions are mapped using a variety of surrogate data types of varying data quality. As part of this process, every attempt is made to utilise the highest quality data (within overall budgetary constraints), however, in some cases the surrogate statistics used may be poorly suited to this task.

One simple, semi-quantitative way of assessing the overall quality of the emission maps by pollutant is to compare the proportion of the national total emission which is mapped as point or area sources. Table 5.1 shows these proportions for selected pollutants in 2008.

Pollutant	Points sources (%)	Area sources (%)
1,3 - butadiene	9%	91%
Benzene	7%	93%
Benzo[a]pyrene	7%	93%
СО	17%	83%
CO <sub>2</sub>	48%	52%
Dioxins	40%	60%
HCI	53%	47%
Lead	75%	25%
Mercury	74%	26%
NH <sub>3</sub>	2%	98%
NMVOC	21%	79%
NO <sub>X</sub>	27%	79%
PM <sub>10</sub>	20%	80%
SO <sub>2</sub>	70%	30%

Table 5.1: Contribution of points sources to mapped emission totals (2008)

Taking the proportion of point sources as a measure of quality, Table 5.1 suggests that maps for lead, mercury, sulphur dioxide, hydrogen chloride and carbon dioxide are likely to be of higher quality than those for ammonia, benzo[a]pyrene, benzene and 1,3-butadiene for example. However, this assessment does not differentiate between point source data which are derived from good site-specific emissions data and that which is based on simple modelling, nor does it differentiate between area sources which are mapped using reliable appropriate surrogate statistics and those which use less optimal datasets.

A more sophisticated approach to assessing uncertainty in the maps is to use 'data quality ratings' ranging from 1 (highest quality) to 5 (lowest quality) for the mapping of emissions of each pollutant and source. An overall 'confidence rating' can then be calculated for each pollutant map as follows:

#### Emission<sub>A</sub> x Rating<sub>A</sub> + Emission<sub>B</sub> x Rating<sub>B</sub> etc./ Emission<sub>Total</sub>

Where: Emission<sub>A</sub>, Emission<sub>B</sub> etc.are the emissions of the pollutants from each of the sources in the inventory

Rating<sub>A</sub>, Rating<sub>B</sub> are the data quality ratings applied to the mapping of emissions from each of the sources in the inventory

Some general rules have been applied when defining data quality ratings for mapping procedures. Point source data from industry and regulators are given a rating of 1 because the locations of emissions are 'known' precisely. Modelled point source data are given a quality rating of 2 to reflect the fact that, although all point sources are known, there is uncertainty regarding the distribution of emissions over these sources. Quality ratings for area/line sources are allocated following an assessment of:

- The quality of the spatially resolved data used to make the grid
- The reliability of the grid as a measure of emissions from a source

A rating is defined for each of the above parameters and the mean is used as the overall data quality rating for the source sector. For example, a grid based on 2001 census population data has been allocated a rating of 2 since it is based on very accurate census data which is generalised across the 1 km<sup>2</sup> grid. The use of such a grid to map emissions from decorative paint use is considered appropriate and has been assigned a rating of 1. The area source data for decorative paints therefore has an overall quality rating of 1.5. On the other hand, while a grid based on suburban land cover is also good quality and assigned a rating of 2, its use to map emissions from small scale waste burning (bonfires) is considered much less reliable and is given a rating of 4. Area source data for these emissions has an overall quality rating of 3. Figure 5.1 shows the resulting confidence ratings for the NAEI pollutant maps.



Figure 5.1: Confidence ratings for mapping elements of the 2008 NAEI maps

These data quality ratings show a broadly similar pattern to those observed in the assessment of proportions emitted by point and area source (Table 5.1). Although there are some differences, many of the area source emissions have contributions from sectors that are difficult to map accurately such as military aircrafts. The map for 1,3-butadiene and benzene are found to be more satisfactory using this method because a large proportion of the emissions are from the road transport sector which has good quality spatial distributions.

### 5.2 Verification

Maps of spatially disaggregated atmospheric emissions are a key input to air quality assessments. It is good practice for the reliability of emissions maps to be verified particularly if they are to be used to model potential exceedances of air quality objectives and European limit values. Within this context, it is helpful to draw a distinction between emission inventory validation and verification. Validation is the process of checking that emissions have been estimated using the appropriate protocols, while verification involves comparison with independently derived data such as ambient monitoring data and model outputs to provide a 'reality check' on the emissions estimates.

Measured annual mean background concentrations can be considered to be made up of three parts:

- A rural field comprising contributions from relatively distant major point and area sources such as power stations, large conurbations and transboundary sources. Measurements from monitoring sites well away from local sources, (e.g from rural stations with the UK's Automatic Urban and Rural Network<sup>15</sup> (AURN)), provide good indications of the spatial variation of concentrations arising from distant sources.
- Contributions from local point sources concentration such as those modelled using dispersion models based on data from individual industrial sites
- Contributions from more local diffuse sources (area and line sources)

The NAEI area source maps are routinely used in air quality models to characterise the local contribution to ambient concentrations of air pollutants. National scale modelling activities have modelled ambient concentrations resulting from local emissions to ambient concentrations (Grice et al, 2010). As part of this work a dispersion kernel modelling approach is applied to the area source emission maps within an area of 33 km x 33 km square surrounding each receptor location, in order to calculate the uncalibrated contribution from area sources to the ambient concentration at a central receptor. Ambient measurements from monitoring sites are then used to calibrate this area source model. The strength of the relationship between measured concentrations and the model results provides an indication of the quality of the emission distribution as it compares actual concentrations measured with predicted concentrations from the mapped emissions.

Figure 5.2 shows calibration data for the area source  $NO_x$  model and identifies the relationship between area source emissions and measured annual mean  $NO_x$  concentrations at AURN monitoring stations. The modelled local emission contribution to overall annual mean  $NO_x$  concentration (X axis) is compared with the measured  $NO_x$  after removing the rural and point source contributions at each site (Y axis). Different dispersion kernels have been used to characterise the dispersion of pollutants in large conurbations, smaller urban areas and rural areas. Thus the graph shows good agreement between the estimate of ambient concentrations from local sources calculated from measurements and from the emission inventory using the dispersion model. The gradient of the fit is used to calibrate the area source model by application as a scaling factor. The scatter about the best fit line is low, suggesting that the area source emission inventory provides a good characterisation of the spatial distribution of emissions.

<sup>15</sup> http://aurn.defra.gov.uk/

The verification of the spatial distribution of other pollutants can also be carried out using similar methods to those described above. Inventory verification for pollutants such as  $PM_{10}$  is, however, more problematic due to the diverse nature of  $PM_{10}$  and the range of sources of primary particles, secondary and mechanically generated coarse particles.



Figure 5.2: Calibration of area source NO<sub>x</sub> model ( $\mu$ g m<sup>-3</sup>, as NO<sub>2</sub>) for 2009

# 6 Summary of recommendation for improvements

The NAEI work programme has a key objective of continuous improvement in response to changing data requirements, data availability, new research and changing policy directions. This applies as much to the mapping of emissions, as to the compilation of the National totals.

From 2008 onwards, at the start of each NAEI annual cycle, a horizon scanning exercise has been carried out. The aim of this exercise is to consider the latest developments in data, the evolution of policy and needs for the emission maps as a whole. From this review a detailed set of recommendations for continuous improvement is prepared and discussed with Defra, DECC and the Devolved Administrations. This in-turn, enables the NAEI to prioritise and focus its annual maintenance and development initiatives for the emission maps.

This report has described the methods used to produce the NAEI emission maps for 2008. A number of observations and recommendations have been made for further improvements to the quality of the maps as part of this process. These are listed below and will form the basis for maintenance items to be taken forward for prioritisation in the horizon scanning exercise for future compilation cycles.

#### Domestic fuel use

- Use of OS Address point data layers to replace domestic housing data aggregated by postcode
- Optimise energy factors for England and Wales
- Roll out a similar method for Northern Ireland

#### Shipping

- Distribute national total based on ENTEC Emission Factors / activities
- Investigate provision of sectoral split by coastal shipping, international shipping and naval shipping

#### Offshore

• Update coordinates of offshore installations and proportional emission rates

#### Railways

- Update emission mapping distribution grids using more up-to-date GIS data
- Extend scope of rolling stock EFs
- Investigate availability of base data improvements or activity data updates (DfT rail movements survey and the ACTRAFF dataset currently used by the LAEI for rail emission calculations)

#### Agriculture

 Incorporation of revised Guidebook emission factors for NMVOC emissions from livestock into the inventory
• Update of livestock and poultry distributions used for particulate matter mapping

#### Other industrial, commercial and public sector consumers

- Use updated ONS Inter-Departmental Business Register (IDBR) database
- Consult with ONS to clarify the IDBR content, its update frequency and correct application of data and assumptions. e.g., a number of closed factories have been identified in the current datasets (presumably arising from the ageing survey data) and these data have been used to reduce uncertainties in the mapping method

#### **Accidental fires**

• Investigate augmentation of updated land cover data with regional fire statistics for improved emissions mapping

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## Appendices

Appendix 1: Detailed source sector breakdown of UK emissions of  $NO_X$  in 2008 Appendix 2: 2008  $NO_X$  emissions for all UNECE level 1 SNAP sectors

# Appendix 1 – Detailed source sector breakdown of UK emissions of NO<sub>x</sub> in 2008

UNECE Source Sector	NAEI Detailed Source Sector	NO <sub>x</sub> emission (tonnes)
1 Combustion in energy	Coke production	5,949
	Collieries - combustion	177
	Incineration - MSW	3,249
	Landfill gas combustion	2,545
	Offshore oil and gas - own gas combustion	40,562
production and transfer	Power stations	271,973
	Refineries - combustion	24,584
	Sewage gas combustion	518
	Gas separation plant - combustion	2,418
	Gas production	1,452
1 Combustion in energy produc	tion and transfer total	353,426
2 Combustion in commercial, institutions, residential and agricultural sectors	Agriculture - stationary combustion	814
	Domestic combustion	102,759
	Incineration - MSW	125
	Miscellaneous industrial/commercial combustion	12,136
	Public sector combustion	12,325
	Railways - stationary combustion	16
2 Combustion in commercial, institutions, residential and agricultural sectors total		128,175
	Ammonia production - combustion	1,532
	Blast furnaces	1,023
3 Combustion in industry	Cement - non-decarbonising	17,835
	Iron and steel - combustion plant	9,101
	Lime production - non decarbonising	3,580
	Other industrial combustion	87,679
	Sinter production	7,953
	Autogenerators	16,495
3 Combustion in industry total		145,198
4 Production processes	Basic oxygen furnaces	129
	Chemical industry - nitric acid use	386
	Electric arc furnaces	578
	Nitric acid production	303
	Primary aluminium production - anode baking	49
	Primary aluminium production - general	293
	Solid smokeless fuel production	7
	Iron and steel - flaring	783
4 Production processes total		2,527
5 Extraction / Distribution of fossil	Offshore oil and gas - processes	256
fuels	Offshore oil and gas - well testing	47
5 Extraction / Distribution of fossil fuels total		303
6 Solvents and other products		0

UNECE Source Sector	NAEI Detailed Source Sector	NO <sub>X</sub> emission (tonnes)
7 Road transport	Road transport - cars - cold start	3,974
	Road transport - cars non catalyst - cold start	166
	Road transport - cars with catalysts - cold start	10,626
	Road transport - LGVs - cold start	2,426
	Road transport - LGVs non catalyst - cold start	14
	Road transport - LGVs with catalysts - cold start	153
	Road transport - major roads	315,210
	Road transport - minor roads	117,765
7 Road transport total		450,334
	Agriculture - mobile machinery	36,864
	Aircraft - military	5,555
	Aircraft - domestic take off and landing	2,078
	Aircraft - international take off and landing	10,576
	Aircraft - support vehicles	4,753
	House and garden machinery	893
8 Other transport and machinery	Industrial off-road mobile machinery	86,600
	Railways - freight	21,323
	Railways - intercity	9,362
	Railways - regional	6,321
	Shipping - coastal	63,450 <sup>16</sup>
	Shipping - naval	10,638 <sup>16</sup>
	Shipping - UK international	19,383 <sup>16</sup>
8 Other transport and machiner	y total	277,796
	Accidental fires - vehicles	21
9 Waste Treatment and disposal	Crematoria	130
	Incineration - animal carcases	245
	Incineration - chemical waste	172
	Incineration - clinical waste	463
	Incineration - sewage sludge	50
	Offshore oil and gas - flaring	1,844
	Small-scale waste burning	396
9 Waste Treatment and disposa		3,322
	Accidental fires - dwellings	98
	Accidental fires - forests	113
11 Other sources and sinks	Accidental fires - other buildings	190
	Accidental fires - straw	46
	Accidental fires - vegetation	38
11 Other sources and sinks total		484
Grand Total		1,361,567

<sup>&</sup>lt;sup>16</sup> This is an estimation, based on the NAEI shipping sectoral split and the UK shipping total emissions provided by ENTEC

## Appendix 2 - 2008 NO<sub>x</sub> emissions for all UNECE level 1 SNAP sectors



















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